

INSTRUCTIONS FOR LIST OF OWNERS OF UNCLAIMED STOCKS AND OTHER SECURITIES

Use this form to report all classes of stocks and undeliverable, unexchanged, underlying, and other presumed unclaimed securities. Unclaimed securities should be listed in alphabetical order by issuer name, including CUSIP number or account number. Cash dividends and other cash related items are to be reported on Form RPD-41203, *List of Owners of Unclaimed Property*.

HOLDER INFORMATION: Complete the holder information on the top of the form to include: name, address, reporting year, period covered, Federal Tax ID Number, contact person, phone number and E-mail address.

Column 1: Issuer/CUSIP No.: Enter the name of the entity which issued the securities. If more than one issuer is being reported, list the issuers' names in alphabetical order. Account number or Certificate Number: Enter CUSIP and account number for certificate report.

Column 2: Owner Name (list alphabetically): Use owner's last name, first name, middle name or initial. Use one block for each owner's name. If there is joint ownership of the securities, list both names within the same block. If owner name is unavailable, enter, "Unknown".

Column 3: Last Known Address: Report last known address of the owner on your records, even though mail has been returned from such address. If the address is unknown, enter "Unknown".

Column 4: Social Security No. or Federal Tax ID No.: Enter Social Security Number of each owner of the funds or Federal Tax ID Number (if business).

Column 5: Number of Shares: Indicate the number of shares owned by each owner.

Column 6: Date of Last Transaction: Enter the date on which the property became payable, redeemable or returnable. For reportable shares from stock dividends and stock splits, provide the date payable. For unexchanged shares of a successor corporation, provide the effective date when shares of the merged/acquired corporation where exchanged. If the security is an underlying share, enter the issue date of the earliest uncashed dividend check as the date of last transaction.

Column 7: Description of Securities: Identify the securities being reported by type class, such as common, preferred or registered bond.

Column 8: Property Type Codes: The property type codes MUST be listed for all securities report on this form. The number to the right of the property type indicates the number of years of inactivity after which the account should be reported as unclaimed.

PROPERTY TYPE CODES

SC01	Dividends (5)	SC12	Underlying shares or other outstanding certificates of ownership (5)
SC02	Interest payable on registered bonds (5)	SC13	Funds for liquidation/redemption of surrendered stocks and bonds (5)
SC03	Principal payments (5)	SC14	Debentures (5)
SC04	Equity payments (5)	SC15	U.S. government securities
SC05	Profits (5)	SC16	Matured funds (5)
SC06	Funds paid toward the purchase of shares or interest in a financial or business organization (5)	SC17	Warrants (5)
SC07	Bearer bond interest and matured principal (5)	SC18	Matured principal on registered bonds (5)
SC08	Shares of stock (5)	SC19	Dividend reinvestment plans (5)
SC09	Cash for fractional shares (5)	SC20	Credit balances (5)
SC10	Unexchanged stock of successor corporation (5)	SC99	Aggregate securities - related cash less than \$50 (5)
SC11	Any other certificates of ownership (5)		

Column 9: Owner Class Code: Enter the following codes: SO - Sole Owner; JT - Joint Owner; B - Beneficiary.

You may photocopy this form as needed to complete your annual report.

If you have any questions concerning your reporting obligations, please contact the Unclaimed Property Office at:

New Mexico Taxation & Revenue Department
Unclaimed Property Office
P.O. Box 25123
Santa Fe, New Mexico 87504-5123

(505) 827-0767 or 827-0668